

Appendix IV: Compliance Review

Quality Assurance Checklist ¹	SEA Directive's Requirements ²	Compliance	Where in the Scoping Report/comment
Objectives and Context			
The plan's or programme's purpose and objectives are made clear.	Directive 2001/42/EC Article 5(1)a	Yes	Swindon's LTP3 was still being developed at the time of writing the Scoping Report, therefore no objectives were available. Section 1 clearly outlines the purpose of the LTP3.
Environmental issues and constraints, including international and EC environmental protection objectives, are considered in developing objectives and targets.	Directive 2001/42/EC Article 5(1)e	Yes	The key sustainability problems and issues presented in Section 11 were derived from the detailed plans and programmes review (Appendix I) and baseline information (Appendix II). These key sustainability problems and issues were used to inform and develop the proposed SEA framework presented in Section 12.
SEA objectives, where used, are clearly set out and linked to indicators and targets where appropriate.		Yes	The proposed SEA objectives are clearly laid out in Section 12.
Links with other related plans, programmes and policies are identified and explained.	Directive 2001/42/EC Article 5(1)a	Yes	A detailed plans and programmes review is presented in Appendix I. The key messages/objectives from these plans and programmes are summarised in Sections 3 to 10 of this Report.
Conflicts that exist between SEA objectives, between SEA and plan objectives and between SEA objectives and other plan objectives are identified and described.		No	LTP3 objectives were not available at the time of writing the Scoping Report. A compatibility analysis between the SEA objectives and LTP3 objectives will be undertaken when they become available. This analysis will be

¹ ODPM (2005) A Practical Guide to the Strategic Environmental Assessment Directive. Appendix 9. Available online: <http://www.communities.gov.uk/publications/planningandbuilding/practicalguidesea>

² EU Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment. Available online: http://ec.europa.eu/environment/eia/full-legal-text/0142_en.pdf

Quality Assurance Checklist ¹	SEA Directive's Requirements ²	Compliance	Where in the Scoping Report/comment
			presented within the Environmental Report.
Scoping			
Consultation Bodies are consulted in appropriate ways and at appropriate times on the content and scope of the Environmental Report.	Directive 2001/42/EC Article 6.1 & 6.2	Yes	This Scoping Report will be sent to statutory consultees (Natural England, English Heritage and the Environment Agency) and other key stakeholders, including the Director of Public Health and Primary Care Trusts for a period of 5 weeks for comment.
The assessment focuses on significant issues.		Yes	Key sustainability problems and issues are identified in Section 11.
Technical, procedural and other difficulties encountered are discussed; assumptions and uncertainties are made explicit.		Yes	Data gaps and limitations are identified in Section 2.
Reasons are given for eliminating issues from further consideration.		Yes	Given the cross-cutting nature of LTPs no issues have been eliminated from further consideration.
Baseline information			
Relevant aspects of the current state of the environment and their likely evolution without the plan or programme are described.	Directive 2001/42/EC Article 5(1)b	Yes	Collated baseline information is presented in Appendix II, which includes the likely evolution of the baseline without the LTP3.
Environmental characteristics of areas likely to be significantly affected are described, including areas wider than the physical boundary of the plan area where it is likely to be affected by the plan.	Directive 2001/42/EC Article 5(1)c Directive 2001/42/EC Article 5(1)d	Yes	Sections 3 to 10 identify the environmental characteristics of the study area; including any areas affected outside the plan area where necessary.
Difficulties such as deficiencies in information or methods are explained.		Yes	Data gaps and limitations are identified in Section 2.